

PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0661-0035

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INDICATION FORM**

Application No.	09/872,615
Filing Date	01 June 2001
First Named Inventor	Mark A. BRUDNAK
Group Art Unit	1651
Examiner Name	Francisco C. Prats
Attorney Docket Number	13329-1001

I hereby appoint:

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name

Dave Humphrey, President Kirkman Group, Inc.

Signature

Date

3/3/2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required. see below.☒ Total of 3 forms are being submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/96 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Mark A BRUDNAKApplication No./Patent No.: 09/872,615Filed/Issue Date: June 1, 2001Entitled: GENOME EDITING AND/OR ENZYMAIC COMPOSITION AND METHOD FOR TREATING AUTISMKirkman Group, Inc.

(Name of Assignee)

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame 0355, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Mark A. Brydnak To: Humphrey Laboratories, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 012099, Frame 0355, or for which a copy thereof is attached.
2. From: Humphrey Laboratories, Inc. To: Kirkman Group, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The Undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date

Telephone number

Dave Humphrey

Signature

President, Kirkman Group, Inc.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form, and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Corporation Division  
250 Capitol St NE, Suite 151  
Salem, OR 97310-1327  
File@ignOregon.com

## Articles of Amendment—Business/Professional/Nonprofit

Check the appropriate box below:

- ☒ BUSINESS/PROFESSIONAL CORPORATION  
(Complete only 1, 2, 3, 4, 5, 7)  
☐ NONPROFIT CORPORATION  
(Complete only 1, 2, 3, 4, 5, 6, 7)

REGISTRY NUMBER: **785553-81****FILED****OCT 09 2003**OREGON  
SECRETARY OF STATE

In keeping with Oregon Statute 192.410-192.595, the information on the application is public record.  
We must release this information to all parties upon request and it may be posted on our website.

For office use only

Please Type or Print Legibly in Black Ink. Attach Additional Sheet if Necessary

1) NAME OF CORPORATION PRIOR TO AMENDMENT: **HUMPHREY LABORATORIES, INC.**

2) STATE THE ARTICLE NUMBER(S) AND SET FORTH THE ARTICLE(S) AS IT IS AMENDED TO READ. (Attach a separate sheet if necessary.)

**ARTICLE 1 IS TO BE AMENDED AS FOLLOWS:****ARTICLE 1 NAME****THE NAME OF THE CORPORATION IS KIRKMAN GROUP, INC. AND ITS DURATION SHALL BE PERPETUAL.**3) THE AMENDMENT WAS ADOPTED ON: **SEPTEMBER 25, 2003**

(If more than one amendment was adopted, identify the date of adoption of each amendment.)

## BUSINESS/PROFESSIONAL CORPORATION ONLY

4) CHECK THE APPROPRIATE STATEMENT

- ☒ Shareholder action was required to adopt the amendment(s). The vote was as follows:

Class or series of shares	Number of shares outstanding	Number of votes entitled to be cast	Number of votes cast FOR	Number of votes cast AGAINST
common	4,000	4,000	4,000	0

- ☐ Shareholder action was not required to adopt the amendment(s). The amendment(s) was adopted by the board of directors without shareholder action.
- ☐ The corporation has not issued any shares of stock. Shareholder action was not required to adopt the amendment(s). The amendment(s) was adopted by the incorporators or by the board of directors.

## NONPROFIT CORPORATION ONLY

5) CHECK THE APPROPRIATE STATEMENT

- ☐ Membership approval was not required. The amendment(s) was approved by a sufficient vote of the board of directors or incorporators.
- ☐ Membership approval was required. The membership vote was as follows:

Class(es) entitled to vote	Number of members entitled to vote	Number of votes entitled to be cast	Number of votes cast FOR	Number of votes cast AGAINST

6) EXECUTION  
PRINTED NAME

SIGNATURE

TITLE

David K. Humphrey

President

7) CONTACT NAME

DAYTIME PHONE NUMBER (including area code)

Jeffery A. Bird

(503)228-3939

## FEES

Required Processing Fee \$20

CR113 (Rev. 8/02)

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